

## 股票交收指示 / 投資者交收指示

### Securities Settlement Instruction (S.I.) / Investor Settlement Instruction (I.S.I.)

日期 Date: \_\_\_\_\_

客戶名稱 Account Name		證券戶口號碼 Securities Account Number	
聯絡電話 Contact Number		電郵地址 Email Address	

本人/吾等特此通知貴公司 I/We hereby instruct your company to

- 將下列股票存入本人/吾等之證券戶口 **RECEIVE** the following securities for my/our securities account  
 由本人/吾等之證券戶口提取下列股票 **DELIVER** the following securities for my/our securities account

並透過中央結算及交收系統，按以下內容執行交收指示

via Central Clearing and Settlement System (CCASS) to effect the settlement in accordance with the following details:

交收對手名稱及中央結算編號 / 中央結算有限公司投資者戶口帳號 Counterparty Name & CCASS ID. / Investor Account No. with CCASS	( )	交收日期 Settlement Date	
聯絡人姓名 Contact Person		聯絡電話 Contact Tel No.	

股票代號 Stock Code	股票名稱 Stock Name	股票數目 Quantity	交收方式 Settlement Method
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment of 港幣 HK\$ ( )
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment of 港幣 HK\$ ( )
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment of 港幣 HK\$ ( )

本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示而出現變動。本人/吾等亦承諾就本交收指示所產生的任何費用或開支，當中包括但不限於印花稅，從本人/吾等於貴公司的帳戶內扣除。 I/We confirm that there is no change in beneficial ownership through effecting this settlement instruction. I/We also undertake any costs and expenses in respect to this settlement instruction, including but not limited to stamp duty, from my/our account of the Company deducted.

經紀名稱

A.E. Name: \_\_\_\_\_

經紀代號

A.E. Code: \_\_\_\_\_

客戶簽署 Client's Signature(s)

請用留存元大證券(香港)有限公司紀錄之簽署式樣

Please use signature(s) filed with Yuanta Securities (Hong Kong) Company Limited

注意 Note:

- 請用正楷填寫，並在適當的地方加上剔號。 Please complete in **BLOCK LETTERS** and tick where applicable.
- 即日執行之指示需於中午十二時前交付或傳真至交收部。 Instruction for same day settlement must reach us before 12:00 noon on the settlement day.
- 原有證券公司或銀行之登記姓名必須與您的元大證券(香港)有限公司戶口之登記姓名相同。 The account name of where the securities being transferred from must be exactly the same as the beneficiary account name with Yuanta Securities (Hong Kong) Company Limited.
- 除非香港結算另有指示，否則投資者交收指示(須確認)一經輸入(若有需要，則以及批核)及有關投資者戶口持有人確認後，任何一方的參與者均不能取消該指示。倘若交易雙方的參與者均向香港結算報告投資者交收指示出現錯誤，香港結算可取消該指示(須確認)。 除非香港結算另有指示，否則投資者交收指示(毋須確認)一經輸入(若有需要，則以及批核)即不能取消。 An ISI (with affirmation) once input (and authorized, if required) and affirmed by Investor Participant concerned cannot be cancelled by either Participant concerned, unless otherwise directed by HKSCC. HKSCC may cancel an erroneous ISI (with affirmation) if both Participants concerned report such error to HKSCC. An ISI (without affirmation) once input (and authorized, if required) cannot be cancelled unless otherwise directed by HKSCC.

For Official Use Only				
S.V.	Approved By Dealing Desk (For US/OTC Securities)	Approved By	Inputted By	Checked By